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09/805,761	03/13/2001	Parkash S. Gill	VASG-PO3-003	4201		
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Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)		
		09/805,761	GILL ET AL.		
	Office Action Summary	Examiner	Art Unit		
		Sean R. McGarry	1635		
David fo	The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address		
WHIC - Exter after - If NO - Failu Any r	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	I. lely filed the mailing date of this communication. O (35 U.S.C. § 133).		
Status					
2a)⊠	Responsive to communication(s) filed on 10/04 This action is FINAL . 2b) This Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro			
Dispositi	on of Claims				
5)	Claim(s) 1-4,8-11,14 and 19-21 is/are pending 4a) Of the above claim(s) is/are withdrav Claim(s) is/are allowed. Claim(s) 1-4,8-11, 14 and 19-21 is/are rejected Claim(s) is/are objected to. Claim(s) are subject to restriction and/or on Papers	vn from consideration.			
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10) 🗌	The specification is objected to by the Examine The drawing(s) filed on is/are: a) access applicant may not request that any objection to the objection to the objection drawing sheet(s) including the correction to the oath or declaration is objected to by the Examination is objected to be applicated to be applied to the Examination is objected to	epted or b) objected to by the Eddrawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).		
Priority u	ınder 35 U.S.C. § 119				
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 					
2) Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate		

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DETAILED ACTION

The terminal disclaimer filed 2/22/07 has been entered and approved.

Any Rejection made in the previous Official Action not repeated below is withdrawn.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-4, 8-11, 14 and 19-21 remain rejected under 35 U.S.C. 103(a) as being unpatentable over Uchida et al [US 6,150,092] in view of Robinson [WO 95/04142, cited by applicant], Agrawal et al [PNAS Vol. 94: 2620-2625, 1997, cited by applicant] and Bennett et al [US 5,998,148]. This rejection is maintained for the same reasons of record.

Uchida et al have taught antisense and pharmaceutical compositions comprising antisense targeted to VEGF. Uchida et al have also taught the inhibition of VEGF in a subject via antisense nucleic acids targeted to VEGF (see claims 18-25, for example). In particular Uchida et al have taught antisense targeted to SEQ ID NO: 7 of VEGF and have taught numerous specific oligonucleotides targeted to SEQ ID NO: 7 such as SEQ ID NOS:49, 50, 51, 54, 53, 50, 49, 38, and 41 (see claims 1-16, for example). It has

been taught by Uchida et al that inhibition of VEGF results in the inhibition of solid tumor growth (see column1, for example) and have taught that if VEGF is present in the tumor it is subject to VEGF inhibitory treatment. It has been taught that the development of antisense oligonucleotides to VEGF replaces the methodology of inhibiting VEGF in tumors with antibodies (see column 2, for example). Columns 4-5 discuss how one in the art can use known oligonucleotide modifications in VEGF antisense oligonucleotides, for example. At columns 7-8 it is taught that various kinds of cancer can be treated with VEGF directed antisense molecules. At column 27 it has been taught that VEGF antisense oligonucleotides can be used to inhibit the growth of solid tumors via the inhibition of VEGF which inhibits angiogenesis which in turn inhibits the growth of solid tumors, for example.

The antisense oligonucleotides claimed by Uchida et al are targeted, for example, to the specific region of VEGF nucleic acid SEQ ID NO: 7. It is noted that antisense oligonucleotides of the instant application, including claimed SEQ ID NO: 34 (modified version of SEQ ID NO:2) as well as SEQ ID NOS: 2, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 28, and 29, for example, are all targeted to SEQ ID NO: 7 of Uchida et al, and further all the antisense oligonucleotides of the instant application either overlap, embrace, or are embraced by the specifically claimed antisense of Uchida et al claim 7, for example (SEQ ID NOS: 49, 50, 51, 54, 53, 50, 49, 138, and 141 of Uchida et al, for example). It is clear that the antisense oligonucleotides claimed by Uchida et al reasonably be expected to have an IC50 value of between about 0.5 and 2.5 micromolar, especially since the claims (i.e. 4, 5, 12, 13) do not require any

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particular conditions to ascertain an IC50 value, for example. Finally Uchida et al have taught that that region of VEGF SEQ ID:7 is a "core region" (see column 21-22) and further teach at column 26 that "[I]n view of the role of VEGF as a tumor angiogenic factor in vivo [citations omitted], the antisense nucleic acid having a nucleic acid sequence complementary to 8 or more nucleotides in the core region is useful as a therapeutic agent such as anticancer drug to inhibit the growth of solid tumors or a diagnostic agent for cancers." Uchida et al have taught at columns 4-9 that phosphorothioate –type oligonucleotides are preferable and act as substrate for RNaseH. At columns 8-9 it has been taught to use liposomes to facilitate the delivery of antisense oligonucleotides to cells in culture and to cells in an animal.

Uchida et al do not teach the 2'O-methyl modifications of SEQ ID NO: 34, the specific cells of claim 7, or chemotherapeutic agents included in a composition comprising a VEGF antisense.

Robinson et al have taught the inhibition of VEGF to inhibit tumor angiogenesis (see page 4, for example). It has been taught at pages 7-8 that modifications to antisense nucleic acids are desirable to prevent attack by nucleases, for example. It has been taught specifically, at pages 8-9, for example, the modification of an antisense oligonucleotide to comprise oligonucleotides that comprise an unmodified internal sequence that is flanked on the 5' and 3' termini by modified nucleic acid sequences.

Agrawal et al have taught the same modification used in SEQ ID NO: 34 in Table 1, for example. It has been taught that this oligonucleotide has nuclease resistance, for example.

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Bennett et al have taught many available modifications available to one in the art at the time the invention was made and this includes hybrid, mixed and gapmer oligonucleotides which all relate to an antisense oligonucleotide comprising an RNase substrate region (which includes phosphorothioate linkages) between modified portions of an oligonucleotide, where the modification(s) provide for increased nuclease protections and/or better substrate affinity, for example (see columns 6-10 and particularly Example 5, for example). At columns 12-13 it has been taught the numerous available compositions and delivery vehicle available for one in the art at the time of invention including the use of liposome formulations for the delivery of antisense oligos to a patient, for example.

One in the art would clearly have had motivation to make the instantly claimed antisense molecules since it is absolutely clear that the region targeted (core region SEQ ID NO:7 of Uchida et al) has been clearly shown by the prior art to be a desired target for antisense inhibition of VEGF where Uchida et al have taught that one in the art would expect antisense oligonucleotide so targeted to inhibit VEGF in solid tumors. Furthermore the specific antisense is not only targeted to the taught target sequence but overlaps, embrace or are embraced by the specific VEGF antisense taught by Uchida et al where the instant application has shown that antisense targeted thereto would be expected to have an IC50 value recited in the claims (ie the IC50 value is an observed property of antisense targeted to this core region of VEGF, for example).

[A REFERENCE TEACHING PRODUCT APPEARING TO BE SUBSTANTIALLY IDENTICAL IS MADE THE BASIS OF A REJECTION, AND THE EXAMINER PRESENTS EVIDENCE OR REASONING TENDING TO SHOW INHERENCY, THE BURDEN SHIFTS TO THE APPLICANT TO SHOW AN UNOBVIOUS DIFFERENCE

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"[T]he PTO can require an applicant to prove that the prior art products do not necessarily or inherently possess the characteristics of his [or her] claimed product. Whether the rejection is based on inherency' under 35 U.S.C. 102, on prima facie obviousness' under 35 U.S.C. 103, jointly or alternatively, the burden of proof is the same...[footnote omitted]." The burden of proof is similar to that required with respect to product-by-process claims. In re Fitzgerald, 619 F.2d 67, 70, 205 USPQ 594, 596 (CCPA 1980) (quoting In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433-34 (CCPA 1977)).]

One in the art would clearly look to this specific region to make antisense oligonucleotides to inhibit VEGF since this specific region and antisense thereto have been clearly taught in the art to be effective antisense oligonucleotides and target sequence. One would expect that the inhibition conditions recited in the claims would be met since these values were observed upon making antisense targeted to the specific region clearly taught in the prior art. One would have been motivated to make the modification specifically as in instant SEQ ID NO: 34 since this type of modification was clearly taught in the art as one of many modifications one in the art could choose to increase nuclease stability or to increase target affinity, for example. Bennett et all have clearly shown that liposome delivery is one of a number of methods one in the art could have chosen to deliver an antisense to a subject. One would clearly have chosen any of the vast range of solid tumors where VEGF is expressed since it is clear from the teachings of Uchida et all and Robinson that any tumor expressing VEGF is clearly a target for antisense VEGF therapy. In regard to claims 2, 3, the following is noted:

"It is *prima facie* obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, in order to form a third composition to be used for the very same purpose.... [T]he idea of combining them flows logically from their having been individually taught in the prior art." In re Kerkhoven, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980) (citations omitted) (Claims to a process of

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preparing a spray-dried detergent by mixing together two conventional spray-dried detergents were held to be prima facie obvious.). See also In re Crockett, 279 F.2d 274, 126 USPQ 186 (CCPA 1960) (Claims directed to a method and material for treating cast iron using a mixture comprising calcium carbide and magnesium oxide were held unpatentable over prior art disclosures that the aforementioned components individually promote the formation of a nodular structure in cast iron.); and Ex parte Quadranti, 25 USPQ2d 1071 (Bd. Pat. App. & Inter. 1992) (mixture of two known herbicides held prima facie obvious). But see In re Geiger, 815 F.2d 686, 2 USPQ2d 1276 (Fed. Cir. 1987) ("Based upon the prior art and the fact that each of the three components of the composition used in the claimed method is conventionally employed in the art for treating cooling water systems, the board held that it would have been prima facie obvious, within the meaning of 35 U.S.C. 103, to employ these components in combination for their known functions and to optimize the amount of each additive....Appellant argues... hindsight reconstruction or at best,... obvious to try'.... We agree with appellant.").

The invention as a whole would therefore have been *prima facie* obvious to one in the art at the time the invention was made.

Applicant's arguments filed 10/04/06 have been fully considered but they are not persuasive. Applicant has offered no new substantial arguments. All of the arguments of the 10/04/06 submission have previously been addressed in detail in the

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previous Official Action. The examiners arguments set forth in that Official Action are repeated below with emphasis added for Applicants convenience.

Applicant argues that with the filing of Exhibit A, a copy of a declaration of Dr. Ruiwen Zhang filed in parent application 09/487,023, in combination with the declaration of Parkash Gill, filed 6/18/04, which was considered in the previous Official Action, applicant has shown two experts opinions that the teachings of Uchida et al are not sufficient to find the instant invention obvious.

Applicant asserts that it would not have been obvious to modify the sequence of Uchidas SEQ ID NO: 50, for example, and modify that sequence as the instant oligonucleotide instantly claimed.

Both applicants' arguments and the declaration seem to assert that the oligonucleotides of Uchida were not meant for in vivo use (see paragraph 4 of the declaration). It is noted that Uchida et al have shown that phosphorothioate antisense oligonucleotide function in cells and further that phosphorothioate oligonucleotides are preferred in their invention. It is further noted that oligonucleotides such as SEQ ID NO: 49, 50 and 51 of Uchida are specifically claimed in a method of treating a subject (see claim 20, for example, and also methods of treating a subject with antisense targeted to SEQ ID NO: 7 are claimed. These are *in vivo* applications of antisense.

Applicant argues that the Uchida reference does not teach the specific sequence SEQ ID NO: 34 with the specific modifications of SEQ ID NO: 34. Applicant argues that there is no motivation from Uchida et al or the other prior art references to make the

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invention as claimed. The examiners arguments of record are relied upon here since applicant's arguments are substantively the same as those presented throughout the prosecution of this application. A quick diagram of the target region and the antisense of Uchida in relation to the instant SEQ ID NO: 34 is provided to show the context of the examiner arguments. SEQ ID NO: 7 and 49-51 are from Uchida, SEQ ID NO: 49 and 50 provided 100% inhibition and SEQ ID NO: 51 provided 96% inhibition under the conditions of Uchida et al. SEQ ID NO:7 is shown in 3'-5' orientation.

CCTACCGAACTTCTACATGAGCTAGAGTAGTCCCATGAGGAC	7
UGGCTTGAAGATGTACTCGAU	34
AAGATGTACTCGATCTCATC	49
GGCTTGAAGATGTACTGGAT	50
CGGATGGCTTGAAGATGTA	51

nucleotide from the instant SEQ D NO: 34 and that the specific region targeted by SEQ ID NO: 34 has been completely blanketed by antisense oligonucleotides that has great inhibitory capacity. Further, it is noted that none of this is new argument as these specific sequences have been pointed to repeatedly throughout the prosecution of the instant application. It is clear that one would have chosen this particular region to target for antisense compounds for use in inhibition of VEGF expression. The region is clearly shown to be an effective target and the instant inventions sequence differs by only one nucleotide from a specific sequence known in the art to be quite effective. That nucleotide not included in the specific

sequence (of SEQ ID NO: 50) is included in another effective antisense oligonucleotide known in the art to be quite effective (SEQ ID NO: 51). Applicant has offered no reason or evidence to show any unexpected properties of the instantly claimed antisense oligonucleotide but only offers that maybe the antisense of the prior art will not work well in an in vivo environment if modified. No evidence to support this assertion is provided. Applicant argues that one would only modify antisense if they were intended to be used in cells. Well, the claims of Uchida et al are clearly drawn to methods of inhibition of VEGF in vivo. Clearly the antisense oligonucleotides are intended for cellular use. The Other prior art references relied upon all teach the use of antisense oligonucleotides as therapeutics, and further Robinson et al and Uchida et al specifically teach the use of Anisense oligonucleotides targeted to VEGF as therapeutics. Applicant argues that it would be unclear that 2'O-methyl-modifies oligonucleotides would be effective in cells unless there is evidence. Well, again the prior art provides that such modifications (2'-Omethyl modifications) are used to provide enhanced affinity for nucleic acid targets and increased stability in the presence of nucleases. This point is important since applicant appears to believe, that since the use of phosphorothioate modified antisense in cells showed less inhibition than unmodified antisense in cell free assay indicates that one would not use such modifications in practice. This is a flawed argument. The modification provides protection from nucleases where they are present. If one uses non-modified antisense in cells they are more prone to nuclease degradation and thus is the very reason such modifications are used where nucleases are present. The environment of a cell is much more degradative to an antisense due to the presence of

nucleases, for example. Applicant has attempted to use modifications and data that is associatively related and make it causatively related. Applicant has provided no evidence, such as a side by side comparison of the Uchida oligonucleotides (SEQ ID NOS: 49, 50 and 51, which are most closely related to SEQ ID NO: 34) in the targeted region, and shown that the oligos of the prior art would not function as the teachings of both the Agrawal and Bennett reference teach that they would with the same modification of SEQ ID NO: 34. Both the Agrawal and Bennett references have taught that it is beneficial in therapeutic antisense applications to use 2'-O-methyl modifications. Applicant should note that the specific Example 5 pointed to in Bennett et al is directed to those same 2'-O-methyl modifications claimed (including the newly added limitation of including a phosphorothioate linkage, for example). The disclosure of both of these references make it clear that one would chose such a modification (See columns 6-10 of Bennett et al for example) for therapeutic applications, for example. The prior art, taken as a whole, clearly teaches the claimed invention.

The Declarations of Parkash Gill and Ruiwen Zhang have been considered but the weight of the evidence provided in those declarations is of insufficient weight to overcome the rejections of record. The declarations provide the same content and will be treated in one discussion. The declarations are opinion declarations that assert that, in the opinion of the Declarants, one in the art would not be motivated to use phosphorothioate modifications based on the disclosure of Uchida et al. Both assert

antisense.

that they have read the previous official action and have reviewed the Uchida et al Patent. It is asserted that phosphorothioate-modified antisense are designed for use in in vivo or cell-based applications and assert that one would only be motivated to make such if one intended to use the antisense in cells or in vivo. It is asserted that the cellfree assays of Uchida et al show many instances of inhibition of over 90%. It is then asserted that the cell based assays of Uchida et al showed less inhibition of VEGF and also assert that the concentration used in the assays could provide non-specific antisense effects, although no evidence of such is provided. It is then asserted that in their comparison of the cell-free to cell based assays there is a poor correlation and conclude that there is no reason to expect that any of the antisense that Uchida et al

identified in their cell free assay would be likely to be effective as PS-modified

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In response it is noted that neither declaration provides any data to dispute the teachings of Uchida et al. It is agreed that PS-modified oligonucleotides are used for cell based and in vivo applications to protect from nuclease degradation. One in the art would not expect a modified oligonucleotide to function the same as an unmodified oligonucleotide since the modifications are made to function in a different environment ie a cellular environment. The Declarants appear to assert that the PS-modified antisense of Tables 8 and 9 of Uchida et al are not effective. Applicant is directed to column 25 and 26 of Uchida et al. Uchida et al disclose that the oligonucleotide shown in Table 9 are in fact considered effective and further assert that the phosphorothioatetype oligodeoxyribonucleotides having the nucleotide sequences selected in the

screening in the cell free system can be used to inhibit the expression of VEGF in cultured cells as well (column 25, lines50-column 26, lines 3, for example). Uchida et al then further demonstrate the use of PS-modified antisense in an *in vivo* experiment where phosphorothioate antisense treated tumors were smaller than tumors not treated. Uchida disclose that phosphorothioate-type oligodeoxyribonucleotides selected by screening in the cell-free and cultured-cell systems can be used to inhibit tumor growth in experimental animals. Uchida further disclose "... the antisense nucleic acid having a nucleotide sequence complementary to at least 8 or more nucleotides in the core region is useful as a therapeutic agent. ..." (see column 26, for example).

Applicant has argued that there is no suggestion to modify the sequences of any of the sequences of Uchida to arrive at any of the specific sequences now claimed. It has been repeatedly asserted by the examiner that the fact that Uchida et al have taught the "core" region of SEQ ID NO:7, and further claims antisense targeted to the region of SEQ ID NO:7, is in itself motivation to make them. The small region [SEQ ID NO:7] has been taught to be a core region to target where one in the art has been specifically directed to make antisense to this region, which is the same region that the instantly claimed oligonucleotides are targeted. Applicant asserts that there is no motivation to modify the instant antisense to include phosphorothioate modifications. Uchida et al teach using phosphorothioate modifications for *in vivo* and cellular use. Applicant also asserts that one would have no reasonable expectation that phosphorothioate antisense molecules would function in

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cells. It is clear from the discussion in the above paragraph that clearly there is at least a reasonable expectation of success.

The art has clearly shown a motivation to modify antisense oligonucleotides for use in therapies, for example. It is clear that Uchida et al intended for their antisense oligonucleotides to be used in vivo. Applicant argues that the antisense modified by Uchida et al do not work well in cells. This is merely an opinion with no data (i.e. comparative: this point of comparative analysis between the prior art and the instant compounds has been made by the examiner in all answers to applicant arguments). Applicant's argument as to the "poor effectiveness" of the antisense of Uchida as compared to the antisense instantly claimed antisense has not been demonstrated. Applicant does not compare that which can be properly compared. A side-by-side analysis of the antisense in the prior art and those specifically claimed would provide a better position for the determination of any unexpected results. As the record stands there are not unexpected properties shown for the instantly claimed oligonucleotide compared to those taught in the prior art. Applicant repeatedly asserts that the antisense of Uchida et al do not work but have provided nothing more than opinion. Uchida et al assert that antisense SEQ ID NO: 51 is effective as a PS-modified oligo (see column 25, lines 50-65, for example). Applicant asserts that Robinson fails to fill the gap between the teachings of Uchida et al and the instant invention. It is noted that Uchida et al have taught phosphorothioates and the Robinson references demonstrate that VEGF antisense and especially PS-modified antisense to VEGF have been clearly shown to work in various in vivo methods and provides a clear picture that

one would expect PS-modified oligonucleotides to function *in vivo*. Furthermore Agrawal and Bennett et al have shown that 2'O methyl modifications are for stabilizing an oligonucleotide for therapeutic applications. Applicants' invention appears to be an optimization of that taught in Uchida et al.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sean R. McGarry whose telephone number is (571) 272-0761. The examiner can normally be reached on M-Th (6:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, J. Douglas Schultz can be reached on (571) 272-0763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Sean R McGarry Primary Examiner Art Unit 1635